

PATENT APPLICATION

Our File No.: 20050701.ORI

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : John David Jenkinson et al : October 12, 2005

S.N. : 10/536,664 : Art Unit unknown

Filed : May 27, 2005

For : CONTROL OF APOPTOSIS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT UNDER RULE 1.56

Applicant(s) herein make available to the Patent and Trademark Office a copy of Form PTO-1449 which lists the following document(s), copies of which are enclosed. This Information Disclosure Statement is being filed in accordance with the following provision(s):

- [ ] 37 CFR 1.97(b)(1) Within three months of the filing date of the national application. No fee is required.
- [ ] 37 CFR 1.97(b)(2) Within three months of the date of entry of the national stage as set forth in § 1.491 in the international application. No fee is required.
- [X] 37 CFR 1.97(b)(3) Before the mailing date of a first Office Action on the merits. No fee is required.
- [ ] 37 CFR 1.97(c) After the periods specified in 37 CFR 1.97(b), but before the mailing date of either: (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first.

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- [ ] The undersigned hereby certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- [] The undersigned hereby certifies that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement; or
- [] Enclosed is a check in the amount of \$200.00 for the fee set forth in 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. 1.17, or credit any overpayment, to Deposit Account No. 08-1265. Two copies of this sheet are enclosed.
- [ ] 37 CFR 1.97(d) After the mailing date of either (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first, but before payment of the issue fee.
- [ ] Applicant(s) hereby petition the Commissioner of Patents and Trademarks to consider this information disclosure statement. Enclosed is a check in the amount of \$130.00 for the petition fee set forth in § 1.17(i)(1). The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. 1.17, or credit any overpayment, to Deposit Account No. 08-1265. Two copies of this sheet are enclosed.

The listed documents are brought to the Examiner's attention because they are known to the applicant and/or the applicant's attorney and may be considered by the Examiner to be material to his/her examination. This listing should not be construed as representation that a search has been made or that no better art

exists. No inference should be made that the documents are in fact material merely because they are referenced herein. Moreover, no representation is made that any brief descriptions of the references herein necessarily describe the most material aspects of the references. Further, by this listing, the applicant is not making any admission regarding the relative dates of the invention and listed disclosures.

The Examiner is requested to consider carefully the complete text of these documents in connection with the examination of the above-identified application in accordance with 37 CFR 1.104(a). It is requested that the documents listed on the attached Form PTO-1449 be included in the "References Cited" portion of any patent issuing from this application (M.P.E.P. 1302.12), and that the Examiner initial and return a copy of the form to evidence consideration of the documents.

Dated: October 12, 2005.

Respectfully submitted,

NIKOLAI & MERSEREAU, P.A.

C. G. Mersereau

Attorney for Applicant(s) Registration No. 26,205 900 Second Avenue South

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10536664 - GAU: 1635



## CERTIFICATE OF MAILING

I hereby certify that the foregoing Information Disclosure Statement Under Rule 1.56, Form PTO-1449 and a copy of each cited reference filed in connection with application Serial No. 10/536,664 of inventor(s), John David Jenkinson et al, filed May 27, 2005, for "CONTROL OF APOPTOSIS" is being sent by Federal Express in a box addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 13, 2005.

Barbara L. Davis

Secretary to C. G. Mersereau

Date of Signature: October 13, 2005



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Sheet 1 of 19 Form PTO-1449 Document Number Application Number 20050701.ORI 10/536,664 INFORMATION DISCLOSURE CITATION Applicant IN AN APPLICATION John David Jenkinson et al (Use several sheets if necessary) Filing Date Group Art Unit May 27, 2005 U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUBCLASS FILING DATE INITIAL IF APPROPRIATE FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY CLASS SUBCLASS Translation NUMBER NO YES OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.) Bird et al, CELL, Methylation-Induced Repression--Belts, Braces, and Chromatin, Vol. 99, November 24, 1999, pp. 451-454 Alberts et al, PLANT JOURNAL, Site-Specific Integration of DNA into Wild-Type and Mutant Lox Sites Placed in the Plant Genome, Vol. 7, No. 4, 1995, pp. 640-659 Alland et al, NATURE, Role for N-CoR and Histone Deacetylase in Sin3-Mediated Transcriptional Repression, Vol. 387, May 1, 1997, pp. 49-55 Anderson et al, PROC. NATL. ACA. SCI. USA, Replication and Expression of Thymidine Kinase and Human Globin Genes Microinjected into Mouse Fibroblasts, Vol. 77, No. 9, September 1980, pp. 5399-5403 Ayer et al, CELL, Mad: A Heterodimeric Partner for Max That Antagonizes Myc Transcriptional Activity, Vol. 72, January 29, 1993, pp. 211-222 Ayer et al, CELL, Mad-Max Transcriptional Repression is Mediated by Ternary Complex Formation with Mammalian Homologs of Yeast Repressor Sin3, Vol. 80, March 10, 1995, pp. 767-776 Basu et al, TETRAHEDRON LETTERS, Solid Phase Synthesis of a D-Peptide-Phosphorothioate Oligodeoxynucleotide Conjugate from Two Arms of a Polyethylene Glycol-Polystyrene Support, Vol. 36, No. 28, 1995, pp. 4943-4946 Bechtold et al, LIFE SCIENCES, S.R. ACAD. SCI. PARIS, In Planta Agrobacterium Mediated Gene Transfer by Infiltration of Adult Arabidopsis Thaliana Plants, Vol. 316, 1993, pp. 1194-1199 Becker et al, METHODS ENZYMOLOGY, High-Efficiency Tranformation of Yeast by Electroporation, Vol. 194, 1990, pp. 182-187

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP  $\Rightarrow$  609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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